

Executive School of Management,  
Technology and Law (ES-HSG)



Universität St.Gallen



11<sup>th</sup> Anniversary of the  
**COMPLIANCE  
MANAGEMENT  
DAY** Thursday, 22 October 2020  
Haus zum Rüden, Zurich

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# *Compliance post Corona and in the 2020's – New Thinking, New Tools, New Generations*

The future is not only about technology. It's also about new ideas and people.

On this the 11<sup>th</sup> year, the Compliance Management Day moves on to the challenges of compliance in the new decade. Overcoming the immense challenges of a compliance function will require out-of-the-box and cross-functional thinking. We will have a line-up of leading practice-oriented speakers who, together with conference Chairman Gabe Shawn Varges, will engage the audience on how to bring compliance forward in an age of disruption.

## **TARGET GROUPS**

This is a cross-industry event that attracts compliance and risk officers, in-house and external counsel, auditors, culture and ethics specialists, board members, executives, and regulators.

## **CHAIR**

Juris Doctor Gabe Shawn Varges

Senior Lecturer and Director of Compliance Studies,  
Executive School of Management, Technology  
and Law of the University of St.Gallen



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## SCHEDULE FOR THE DAY

Compliance post Corona and in the 2020's – New Thinking, New Tools, New Generations

11<sup>th</sup> Anniversary of the Compliance Management Day

<b>8:00 to 8:30</b>	<b>Registration and Welcome Coffee</b>	
<b>8:30 to 9:00</b>	What Ethics and Compliance Lessons Did We Learn from the Corona Crisis? Preparing for Post-Corona and Beyond	<b>Gabe Shawn Varges</b>
<b>9:00 to 10:00</b>	From Compliance to an Enterprise-Wide Leader Role: Is Compliance Now a Spring Board to CEO and Higher Executive Positions? To the Board?	<b>Alexandra Lau, Philomena Colatrella, Jennifer Bleth, James Freis (TBC),</b>
<b>10:00 to 10:15</b>	<b>Coffee Break</b>	
<b>10:15 to 11:00</b>	A Chief Operating Officer in a Compliance Function: How Come? Why is this Function Critical?	<b>Ursula Laroche</b>
<b>11:00 to 11:50</b>	Compliance in a Start-Up: A Luxury? What issues?	<b>Anna Güttler, David Geisser, Daria Reisch</b>
<b>11:50 to 12:30</b>	Audience Interactive Session: Do Generations Y & Z See Compliance Differently?	<b>Megi Jorgo, Marsida Fani, Laura Hainlein, and the Audience</b>
<b>12:30 to 13:45</b>	<b>Networking Lunch with Industry Table for Banking, Insurance, Industry/Consumer/FinTech</b>	
<b>13:45 to 14:15</b>	<b>Keynote Speech:</b> How does a Company or a Function Successfully Transition Digitally?	<b>Lucy Küng</b>
<b>14:15 to 15:30</b>	Audience Interactive Session: Compliance Risks and Opportunities During a Digital and Socially Conscious World	<b>Alexander Zinser, Lisa Bechtold, Alexander Jüngling, Claudia Würstle, and the Audience</b>
<b>15:30 to 15:50</b>	<b>Coffee Break</b>	
<b>15:50 to 16:45</b>	5X5: Top 5 Regulatory Trends and Risks over the Next 5 Years	<b>Eric Stupp, Claudio Bazzani, James Bellerjeau, Alexander Jüngling</b>
<b>16:45 to 17:15</b>	Summary of the Day and Results of the Annual HSG Compliance Management Survey	<b>Gabe Shawn Varges</b>

## CHAIR

Gabe Shawn Vargas

## LOCATION

Haus zum Rüden, Limmatquai 42, CH-8001 Zurich

## CONFERENCE FEE

The conference fee per person is **CHF 890**, which includes lunch and refreshments. The conference fee is payable upon receipt of the registration confirmation.

## REGISTRATION DEADLINE / CANCELLATION

The registration period runs until October 5, 2020. Cancellations received before this date will be subject to a fee of CHF 100, and for subsequent cancellations the full conference fee will be due.

**Register now: [lam.unisg.ch/conferences](http://lam.unisg.ch/conferences)**

## ORGANISATION / INFORMATION

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to impact”* 

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